

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number : 10/577,074 Confirmation No.: 9018  
Applicant : James CREGG, et al.  
International Filing Date : October 22, 2004  
Title : METHODS OF SYNTHESIZING HETEROMULTIMERIC  
POLYPEPTIDES IN YEAST USING A HAPLOID MATING  
STRATEGY  
TC/Art Unit : 1636  
Examiner: : Unassigned  
  
Docket No. : 57015US (67858.701501)  
Customer No. : **21967**

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN  
THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

**MAIL STOP: PCT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notification Of Missing Requirements Under 35 U.S.C. 371 In The United States Designated/Elected Office (DO/EO/US), mailed March 6, 2007 (copy enclosed), Applicants enclose an executed Declaration and Power of Attorney, Statement to Support Filing and Submission in Accordance with 37 C.F.R. § § 1.821-1.825, Sequence Listing in computer readable form, 3 ½-inch diskette containing Sequence Listing, along with the late surcharge in the amount of \$130.00.

Please charge Deposit Account No. 50-0206 in the amount of \$130.00 for the late declaration surcharge.

05/09/2007 GFREY1 00000052 500206 10577074

However, in the event that any additional fees are necessary, the Commissioner is hereby authorized to charge our Deposit Account No. 50-0206.

Respectfully submitted,

HUNTON & WILLIAMS LLP

***Dated: May 7, 2007***

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1430  
Alexandria, Virginia 22313-1430  
www.uspto.gov

67858.701501

TES

U.S. APPLICATION NUMBER NO. 10/577,074	FIRST NAMED APPLICANT James M. Cregg	ATTY. DOCKET NO. 57015US(67858701501)
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21967  
HUNTON & WILLIAMS LLP  
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WASHINGTON, DC 20006-1109

INTERNATIONAL APPLICATION NO.

PCT/US04/35302

I.A. FILING DATE

10/22/2004

PRIORITY DATE

10/22/2003

CONFIRMATION NO. 9018

371 FORMALITIES LETTER



\*OC000000022757456\*

-9-

Date Mailed: 03/06/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 04/24/2006
- Copy of the International Search Report filed on 04/24/2006
- Oath or Declaration filed on 04/24/2006
- Biochemical Sequence Listing filed on 04/24/2006
- U.S. Basic National Fees filed on 04/24/2006
- Priority Documents filed on 04/24/2006

DOCKETED	3/9/07
ACTION CODE	MISSING REQ
DUE DATE	5-6-07
BASE DATE	3-6-07
DEADLINE	10-6-07
ATTORNEYS	TES
INITIALS	12

Seq Listing

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

**For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:**

- **For Rules Interpretation, call (571) 272-0951**
- **For Patent Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.**
- **Send e-mail correspondence for Patent Software Program Help @ [ebc@uspto.gov](mailto:ebc@uspto.gov)**

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/577,074	PCT/US04/35302	57015US(67858701501)